

#### GEH-01-064 PATENT

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John M. Belcea

Art Unit: 3613

Serial No.: 09/752,276

APR 30 2001

: Examiner:

Filed: December 29, 2000

TO 3600 MAIL ROOM

For:

Adaptive Train Model

#### **CERTIFICATE OF MAILING**

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Robert B. Reeser III Reg. No. 45,548

#### PRELIMINARY AMENDMENT

Hon. Assistant Commissioner for Patents Washington, D.C. 20231

Please enter the following amendment prior to publication and examination of this application.

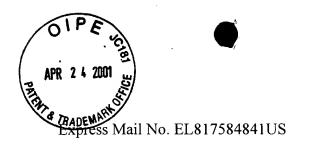
#### IN THE SPECIFICATION

Please delete the paragraph starting on page 7, line 28, and ending on page 8, line 9, and beginning with the words, "Values  $l_{ij}$ " and substitute therefor the following paragraph.

/

Values  $l_{ij}$  are the "footage" determined by a track database using two successive elevation records. The first and the last sections of the consist should be determined according to the position of the head and the tail of the train with respect with the surrounding terrain. As the train





In re application of: John M. Belcea

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Serial No.: 09/752,276  Filed: December 29, 2000  For: ADAPTIVE TRAIN MODEL   | Examiner:  HECEIVED  APR 30 2001                            |  |  |  |  |  |  |  |
|---|---|--|--|--|--|--|--|--|
| Assistant Commissioner for Patents Washington, D.C. 20231   | TO 3600 MAIL ROOM   |  |  |  |  |  |  |  |
| AMENDMENT TRANSMITTAL   |   |  |  |  |  |  |  |  |
| 1. Transmitted herewith is an amendment for this application.   |   |  |  |  |  |  |  |  |
| S   | STATUS  |  |  |  |  |  |  |  |
| 2. Applicant is  a small entity. A verified statement: is attached was already filed.  other than a small entity.   |   |  |  |  |  |  |  |  |
| CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)  |   |  |  |  |  |  |  |  |
| I hereby certify that this correspondence is, on the date show  | n below, being:   |  |  |  |  |  |  |  |
| MAILING   | FACSIMILE   |  |  |  |  |  |  |  |
| deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 | transmitted by facsimile to the Patent and Trademark Office |  |  |  |  |  |  |  |
| Date:   | Robert B. Reeser III, Reg. No. 45,548                       |  |  |  |  |  |  |  |

## EXTENSION OF TERM

| 3.   | The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.13 apply.  |                                 |                      |  |  |  |  |  |
|--|--|---------------------------------|----------------------|--|--|--|--|--|
|  | (complete (a) or (b), as applicable)   |                                 |                      |  |  |  |  |  |
|  | (Applicant petitions for an extension of time under 37 C.F.R. 1.136 (Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)                  |                                 |                      |  |  |  |  |  |
|  | Extension (months)   | Fee for other than Small entity | Fee for small entity |  |  |  |  |  |
|  | one month  | \$ 110.00                       | \$ 55.00             |  |  |  |  |  |
|  | _ two months   | \$ 390.00                       | \$ 195.00            |  |  |  |  |  |
|  | _ three months   | \$ 890.00                       | \$ 445.00            |  |  |  |  |  |
|  | four months  | \$ 1,390.00                     | \$ 695.00            |  |  |  |  |  |
|  | Fee: \$  |                                 |                      |  |  |  |  |  |
| If an additional extension of time is required, please consider this a petition therefo  |  |                                 |                      |  |  |  |  |  |
| (Check and complete the next item, if applicable)  |  |                                 |                      |  |  |  |  |  |
|  | An extension of months has already been secured. The fee paid therefor of \$ is deducted from the total fee due for the total months of extension now requested. |                                 |                      |  |  |  |  |  |
|  | Extension fee due with this request \$   |                                 |                      |  |  |  |  |  |
|  |  | OR                              |                      |  |  |  |  |  |
| (b) ✓ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time. |  |                                 |                      |  |  |  |  |  |

#### FEE FOR CLAIMS

|             | (Col. 1)   |            | (Col. 2)                              | (Col. 3)                                 | SMALL ENTITY                                   |       | OTHER THAN A<br>SMALL ENTITY |  |
|-------------|--|------------|---------------------------------------|--|--|-------|------------------------------|--|
|             | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT  |            | HIGHEST NO.<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA                         | ADDIT.<br>RATE FEE                             | OR    | ADDIT.<br>RATE FI            |  |
| TOTAL       |  | MINUS      |                                       |  | x \$9= \$                                      |       | x \$18 = \$                  |  |
| INDEP.      |  | MINUS      |                                       |  | x \$40 = \$                                    |       | x \$80 = \$                  |  |
| FIRS        | T PRESENTATION   | OF MULTIPI | LE DEP. CLAIM                         |  | + \$135 = \$                                   |       | + \$270 = \$-0-              |  |
| <u> </u>    |  |            |                                       |  | TOTAL ADDIT.<br>FEE \$                         | OR    | TOTAL ADDIT.<br>FEE          |  |
| (c)         | ✓ No additio   | nal fee fo | or Claims is req                      | uired. OR                                |  | I.    |                              |  |
| (d) _       | Total addit  | ional fee  | for claims requ                       | ired                                     |  |       |                              |  |
|             |  |            | FE                                    | E PAYMEN                                 | T  |       |                              |  |
| 5           | Attached is a check in the sum of \$   |            |                                       |  |  |       |                              |  |
| -           |  |            | ount No. 01-23<br>is transmittal is   |  | f\$  |       |                              |  |
|             |  |            | FEE                                   | DEFICIEN                                 | CY   |       |                              |  |
| 6. <u>/</u> | If any additional extension and/or fee is required, charge Deposit Account No. 0 2384. |            |                                       |  |  |       |                              |  |
|             |  |            |                                       | AND/OR                                   |  |       |                              |  |
|             | If any a   | dditional  | fee for claims                        | is required, c                           | harge Deposit Acco                             | unt N | o. 01-2384.                  |  |
|             |  |            | One Met                               | RONG TEAS<br>ropolitan Squ<br>, MO 63102 | Reg. No. 45,548<br>DALE LLP<br>are, Suite 2600 |       |                              |  |



# 04-25-01

GAU 3613 GEH-01-064 PATENT

# CERTIFICATE OF MAILING BY EXPRESS MAIL TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

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I hereby certify that the attached Preliminary Amendment of John M. Belcea for ADAPTIVE TRAIN MODEL, Attorney's file number GEH-01-064, Serial Number 09/752,276, filed on December 29, 2000, including:

• Preliminary Amendment (3 pages)

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• Submission of Marked Up Paragraphs (3 pages)

APR 30 2001

• Certificate of Mailing via Express Mail (1 page)

**TO 3600 MAIL ROOM** 

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Robert B. Reese

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St. Louis, MO 63102

314/621-5070